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Assessment Data—Informed Guidance to Individualize Kindergarten Reading Instruction:  
Findings from a Cluster-Randomized Control Field Trial

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# ASSESSMENT DATA–INFORMED GUIDANCE TO INDIVIDUALIZE KINDERGARTEN READING INSTRUCTION

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## *Findings from a Cluster-Randomized Control Field Trial*

### ABSTRACT

The purpose of this cluster-randomized control field trial was to examine whether kindergarten teachers could learn to differentiate classroom reading instruction using Individualized Student Instruction for Kindergarten (ISI-K) and to test the efficacy of differentiation on reading outcomes. The study involved 14 schools, 23 ISI-K ( $n = 305$  students) and 21 contrast teachers ( $n = 251$  students). Data sources included classroom observations, parent surveys, and student assessments of language, cognitive, and reading skills. Hierarchical multivariate linear modeling revealed that students in ISI-K classrooms outperformed contrast students on a latent measure of reading skills ( $ES = .52$ ). Teachers in both conditions provided small-group instruction, but teachers in the ISI-K condition provided significantly more individualized instruction. Findings are discussed regarding professional development to differentiate core reading instruction and the challenge of using Response to Intervention approaches to address students' needs in the areas of reading.

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**I**N 2005 the National Institute of Child Health and Human Development funded several Learning Disability Center Grants and challenged these projects to extend the knowledge base about how to prevent as well as to identify reading disabilities using Response to Intervention (RTI) approaches. Such knowledge is vital because far too many children struggle to learn to read primarily because they do not receive adequate reading instruction in the primary grades (Vellutino et al., 1996),

and subsequently do not succeed in school. Over one-third of all American fourth graders and, even more disturbingly, over half of students from minority backgrounds performed below basic on the reading comprehension portion of the National Assessment of Educational Progress (NAEP; National Center for Educational Statistics, 2007). It is alarming that so many students face difficulties comprehending grade-level texts: converging evidence suggests that it is challenging to remediate older students' reading problems, and also that subsequently their motivation to read and their self-efficacy are negatively affected (e.g., Klingner, Vaughn, Hughes, Schumm, & Elbaum, 1998; Morgan & Fuchs, 2007).

Fortunately, several seminal research reports have shown that early intervention efforts using evidence-based reading programs can be highly successful in preventing reading difficulties for most students (National Early Literacy Panel, 2008; National Reading Panel [NRP], 2000). In light of this research base, general and special education policy in the United States has shifted; for example, the Individuals with Disabilities Educational Improvement Act (IDEA, 2004) allows school districts to use up to 15% of special education funds for prevention and early intervention. In addition, to encourage schools to provide intervention to struggling readers before they fall far enough behind to qualify for special education, IDEA supports a shift to documenting students' responses to evidence-based instruction and intervention in order to identify which students have a reading disability. This new identification process is known as Response to Intervention, or RTI. There are different RTI models, but the common foundation for success in any model is effective beginning reading instruction provided by classroom teachers (Gersten et al., 2008). The purpose of our study was (a) to learn the extent to which kindergarten teachers could learn a promising instructional strategy wherein kindergarten reading instruction was differentiated based upon students' ongoing assessments of language and literacy skills and (b) to test the efficacy of differentiated reading instruction on the reading outcomes of students from culturally diverse backgrounds.

## What Is RTI?

Current research on RTI demonstrates that multitiered models of early literacy intervention can reduce referrals to special education and improve the accuracy in identifying individuals with reading disabilities (e.g., Mathes et al., 2005; Speece & Case, 2001; Torgesen et al., 1999; Vaughn & Fuchs, 2003; Vellutino et al., 1996; Wanzek & Vaughn, 2007). Torgesen (2002) argued that a well-implemented, multitiered RTI approach could reduce the prevalence of severe word-level reading disabilities to about 6% within most elementary schools. All multitiered RTI models begin with effective initial reading instruction, or Tier 1. Components of effective Tier 1 include (1) a core reading program grounded in scientifically based reading research, (2) assessment of all students to determine instructional needs and identify students who are at risk, and (3) ongoing, targeted professional guidance to provide teachers with the necessary tools to differentiate or individualize instruction to ensure most students learn to read (Gersten et al., 2008). Well-implemented Tier 1 instruction should help at least 80% of students meet grade-level reading proficiency (Batsche, Curtis, Dorman, Castillo, & Porter, 2007), although this percentage may vary somewhat across schools depending on student need and also upon the definitions of grade-level proficiency or responsiveness. To date, researchers have used several

response criteria (see Fuchs & Deschler, 2007, for a thorough discussion), but one of the most commonly used, which is also applied in the present study, is normalization—reading scores above an 85 on standardized, nationally normed test of reading (i.e., within 1 standard deviation of the normative sample).

Thus, even with well-implemented Tier 1, some students are likely to need more assistance and would receive Tier 2 interventions. Tier 2 interventions, provided as a supplement to Tier 1, involve classroom teachers, specialists, or even paraprofessionals providing small-group reading interventions that are individualized for the needs of students and offer frequent opportunities for feedback and practice. Tier 2 is typically delivered 3 to 5 days per week, and students' progress is assessed more frequently than in Tier 1. Students who do not respond to Tier 2 then receive more intensive supplemental Tier 3 interventions (up to 1 hour a day in groups of 1–3 students). The number of tiers (and length of time students spend in tiers) varies across models, but the underlying theory is that students who struggle with reading require more time in explicit and systematic instruction in their assessed areas of weakness. Further, because all students receive Tier 1, whereas only a few students receive additional intervention, a well-implemented Tier 1 is the foundation of all RTI. Then, if these supplemental interventions are still not powerful enough, identification of a reading disability with participation in special education would likely follow.

Many schools that have been implementing RTI-like approaches for more than 2 decades have identified some challenges related to Tier 1 that affect the efficiency of the entire RTI system. Chief among these are the need to ensure that teachers provide instruction and interventions that are sufficiently intense and implemented with fidelity (e.g., Ikeda et al., 2007) and the need to connect assessment data to interventions (Marston et al., 2007). Historically, researchers in the fields of special education and school psychology have demonstrated that it is challenging to help classroom teachers use assessment data to guide small-group instruction or to individualize interventions based on students' strengths and weaknesses (e.g., Fuchs, Fuchs, Hamlett, Phillips, & Bentz, 1994). Even with support, researchers found that most general educators tended to provide the same activities to all children rather than using data to provide different types of activities to students with weaker skills. More recently, in the context of RTI, researchers have rekindled their efforts to assist teachers in using assessment data to individualize beginning reading instruction and interventions (Connor, Morrison, Fishman, Schatschneider, & Underwood, 2007; Gersten et al., 2008; Mathes et al., 2005; Scanlon, Gelzheiser, Vellutino, Schatschneider, & Sweeney, 2008; Vellutino et al., 1996).

Providing individualized amounts and types of instruction based upon students' assessed skills and needs is vital in light of accumulating knowledge that the effect of specific amounts and types of instruction depends on students' vocabulary and reading skills. That is, students' response to any instruction will likely depend in part on how their initial language and literacy skills interact with the instruction they receive. This idea of child characteristic  $\times$  instruction interactions has been confirmed in a number of studies in preschool (Connor, Morrison, & Slominski, 2006), first grade (Connor, Morrison, & Katch, 2004; Foorman, Francis, Fletcher, Schatschneider, & Mehta, 1998; Juel & Minden-Cupp, 2000), second grade (Connor, Morrison, & Underwood, 2007), and most recently in kindergarten (Al Otaiba et al., 2008). Many of these studies use a multidimensional framework to describe classroom instruction.

This is with the understanding that effective teachers are frequently observed to use multiple strategies even if the school's core curriculum emphasizes a particular type of instruction (Connor, Piasta, et al., 2009). Subsequently, a small but growing number of cluster-randomized control studies in schools conducted by Connor and colleagues have shown that helping teachers provide the amount and type of instruction tailored to students' skills, as informed by assessment data, results in stronger reading growth (Connor, Morrison, Fishman, et al., 2007; Connor, Morrison, et al., 2009).

### **Rationale for Our Study: Dimensions of Instruction and Individualizing Student Instruction**

Well-implemented Tier 1 instruction is the foundation to successful RTI because students who do not make adequate growth through Tier 1 will need more expensive specialized interventions. Thus, because students enter school with vastly different background knowledge, having experienced different language and literacy experiences at home, the first line of defense against reading disabilities is initial classroom literacy instruction. As a result, kindergarten classrooms are becoming increasingly academic in focus. Our rationale for this cluster-randomized control field trial was to examine whether kindergarten teachers could improve the beginning literacy trajectories of their students by implementing an assessment-data-informed hybrid of Tier 1 instruction and Tier 2 small-group individualized intervention in their classrooms.

Our conceptualization of classroom reading instruction is multidimensional and draws on converging evidence that the effect of a particular type of instruction depends upon students' language and literacy skills (Al Otaiba et al., 2008; Connor, Morrison, Fishman, et al., 2007; Connor, Morrison, et al., 2009; Cronbach & Snow, 1977; Foorman et al., 2003; Juel & Minden-Cupp, 2000). Grouping is one dimension of instruction: specifically, researchers have found that small-group instruction is relatively more powerful than whole class (Connor et al., 2006). During whole-class instruction, by necessity, most teachers teach to the "middle"; in contrast, small-group instruction lends itself to differentiation, which is associated with stronger reading growth, particularly for the more struggling and also better achieving students. The second dimension, the content of instruction, considers two types of instruction that are essential for reading: code focused or meaning focused. Code-focused instruction addresses phonological awareness, print knowledge, and beginning decoding, whereas meaning-focused instruction addresses vocabulary development, listening, and reading comprehension. Research has shown that the amount of each type of content needed for a successful reader depends upon students' language and literacy skills (Al Otaiba et al., 2008; Connor, Morrison, Fishman, et al., 2007; Connor, Piasta, et al., 2009). The final dimension is management: instruction is considered child managed when students are working independently or with a peer, and teacher-child managed when the teacher is actively instructing. These three dimensions function such that instruction can be whole class or small group, teacher-child or child managed, and code or meaning focused.

There is a growing body of evidence that teachers who use these dimensions to individualize instruction based upon students' language and literacy skills in grades 1–3 achieve stronger student reading performance than teachers who do not (Connor et al., 2004; Connor, Morrison, et al., 2009; Connor, Piasta, et al., 2009). Specifically,

the Individualized Student Instruction (ISI) intervention was designed by Connor and colleagues to support teachers' ability to use assessment data to inform instructional amounts, types, and groupings. Child assessment data and data from classroom observations were used to develop and fine-tune algorithms that use a predetermined end-of-year target outcome. The students' assessed language and reading scores were entered into the Assessment to Instruction (A2i) software, which computes the recommended amounts of instruction in a multidimensional framework of teacher- or child-managed instruction that is either code or meaning focused. Thus, the ISI intervention includes three components: A2i software, ongoing teacher professional development, and in-class support.

Although ISI as a means of professional development (PD) has been carefully studied in grades 1–3, the present study extends this research in several innovative ways that are very relevant to RTI. First, consistent with the notion of early intervention, this is the initial field test of ISI at kindergarten, when formal reading instruction begins for most children. Second, our treatment aimed to help teachers differentiate their core reading instruction, essentially creating within their classrooms a hybrid of Tier 1 (classroom instruction) and Tier 2 (targeted and differentiated small-group interventions). Third, teachers in both the ISI-K treatment and the professional development contrast conditions received a common baseline of professional development that included (1) a researcher-delivered summer day-long workshop on RTI and individualized instruction, (2) materials and games for center activities, and (3) data on students' reading performance provided through Florida's Progress Monitoring Reporting Network (PMRN), the state's Web-accessed database. At the time of the study, these data included students' scores and risk status on the Dynamic Indicators of Basic Early Literacy Skills (DIBELS; Good & Kaminski, 2002). Furthermore, schools all had reading coaches who worked with the teachers. Thus, our test of the ISI-K treatment represents a rigorous test of all three components of the ISI regimen used in prior investigations of ISI efficacy—A2i software, ongoing professional development, and biweekly classroom-based support. Given the newness of ISI, it is also important to learn if and how it works to change teacher behavior, and through that change, to improve students' responsiveness to initial reading instruction. Fall and winter classroom observations of both treatment and contrast classrooms were used to investigate potential changes in practice.

### Research Questions

The purpose of this cluster-randomized control field trial was to examine the effects of ongoing professional development, guided by ISI-K techniques, the A2i software recommendations and planning tools, and in-classroom support on the kindergartners' reading outcomes. Specifically, we addressed two research questions: (1) Is there variability in the implementation of literacy instruction and individualization of instruction within ISI-K treatment and contrast professional development classrooms? We hypothesized that teachers in the treatment condition would provide more small-group individualized literacy instruction relative to teachers in the contrast condition. (2) Would students in the treatment classrooms demonstrate stronger reading outcomes than students in the contrast classrooms? We hypothesized that the kindergarten students in the ISI-K treatment classrooms would demonstrate stronger reading outcomes. Relatedly, we hypothesized that a higher pro-

portion of students would be responsive to individualized instruction, which we defined as reaching grade-level word-reading skills. If so, fewer students in ISI-K classrooms would be expected to need additional interventions in first grade.

## Method

### Participants

This cluster-randomized control field trial took place during the second year of a large-scale Learning Disabilities Center project investigating the efficacy of Tier 1 core kindergarten reading instruction. For the present study, the school district in a midsized city in northern Florida nominated 14 schools to be recruited; the principals all agreed to participate and to be randomly assigned to the ISI-K treatment (hereafter *treatment*) or to a wait-list contrast professional development (hereafter *contrast*) condition. These schools served an economically and ethnically diverse range of students; six schools received Title I funding and four received Reading First funding. Although the schools served a culturally diverse population, the percentage of the schools' students who were identified as limited English proficient (LEP) was not typical for the state, ranging from less than 1% to 4.5%.

Prior to assigning schools to condition, we matched them on several criteria: proportion of students who received free or reduced-price lunch (as a proxy for socioeconomic status), Title I and Reading First participation (which entitled schools to extra resources), and the schools' reading grades based upon the proportion of students passing the Florida high-stakes reading test at third grade (as a proxy for overall response to reading instruction K–3). One of each pair was then randomly assigned to the ISI-K treatment or to the wait-list contrast condition. The present study describes pre- and posttest data from the 2007–2008 school year involving seven treatment schools ( $n = 23$  teachers) and seven contrast schools ( $n = 21$  teachers). In the following year, all teachers in the contrast schools were provided with the full ISI-K treatment, and teachers in the initial seven treatment schools received a second year of ISI-K, but presentation of these data is beyond the scope of this present study.

Across these 14 schools, kindergarten was provided for the full day and, as increasingly typical in North American schools, there was a strong focus on reading and language arts instruction. Per district policy, reading and language arts instruction was provided for an uninterrupted block of a minimum of 90 minutes, guided by a core reading program that was explicit and systematic. Specifically, all but one school utilized Open Court as the core reading program (Bereiter et al., 2002); the other school used Reading Mastery Plus published by SRA (Engelmann & Bruner, 2002). Further, during the present study all schools had reading coaches and all collected student reading assessment data three times per year to enter into the state's reading assessment database. However, RTI was not yet being implemented within the district.

A total of 44 credentialed teachers, ranging from two to five teachers per school, agreed to participate. A majority (31 teachers, 70.5%) were Caucasian, 10 (22.7%) were African American, and three were Hispanic. Ten teachers held graduate degrees (22.7%) and the majority held bachelor's degrees (77.3%). On average, teachers had

Table 1. Student Demographic Data

Variables	Treatment Group ( <i>n</i> = 305)		Contrast Group ( <i>n</i> = 251)		<i>p</i> <sup>b</sup>
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	
Age at fall testing	5.21	.37	5.19	.35	.534
Nonverbal IQ <sup>K</sup>	91.80	12.44	93.50	10.47	.087
Verbal IQ <sup>K</sup>	92.14	15.16	93.33	14.00	.341
Picture vocabulary <sup>WJ</sup>	99.65	10.80	99.86	10.94	.817
Absence <sup>a</sup>	7.04	9.41	3.29	5.34	.000 <sup>a</sup>
	<i>n</i>	%	<i>n</i>	%	<i>p</i> <sup>c</sup>
Male	164	53.77	125	49.80	.351
Ethnicity:					.924
Caucasian	105	34.43	83	33.07	
Black	175	57.38	151	60.16	
Hispanic	14	4.59	10	3.98	
Other	11	3.61	7	2.79	
Eligible for free or reduced-price lunch	182	59.67	137	54.58	.248
Retained	35	11.48	25	9.96	.543
Special education classification:					
Speech/language	55	18.03	21	8.37	.202
EMH, DD, ASD	4	1.31	8	3.19	.394
Sensory impaired	4	1.31	3	1.20	.890
Orthopedically or OHI	8	2.62	1	.40	.037
Learning disabled	1	.33	2	.80	.356
Gifted	1	.33	3	1.20	.289

Note.—<sup>K</sup> = Kaufman Brief Intelligence Test (Kaufman & Kaufman, 2004); <sup>WJ</sup> = Woodcock Johnson III (Woodcock, McGrew, & Mather, 2001); EMH = educably mentally handicapped; DD = developmentally delayed; ASD = autism spectrum disorders; OHI = other health impaired.

<sup>a</sup> *n* = 284 for treatment; *n* = 242 for contrast.

<sup>b</sup> *p* value is computed using ANOVA.

<sup>c</sup> *p* value is computed using chi-square test.

\* Significant at the *p* = .05 level after the Benjamini-Hochberg correction was applied.

taught for 10.55 years (*SD* = 8.66). There was only one first-year teacher, although 18 teachers reported having 0–5 years of teaching experience. Six teachers reported having between 6–10 years, 11 had 11–15 years, and nine had more than 15 years of teaching experience. A chi-square analysis revealed no significant difference across conditions.

With the teachers' assistance, we recruited all students in their classrooms (including students who qualified for special education), and subsequently we received consent from 605 parents. During the course of the study, 49 children (from different schools and classrooms and evenly distributed across conditions) moved to schools not participating in the study. Table 1 describes the 556 remaining students' demographics, including age, gender, ethnicity, free and reduced-price lunch status, retention, number of student absences during the year of the study, special education classification, IQ, and vocabulary. After applying the Benjamini-Hochberg (BH) correction (Benjamini & Hochberg, 1995) for multiple comparisons, the only significant difference between groups was seen for the rate of student absences. On average, students in the treatment group were absent for about 7 days and students in the contrast group were absent about 3 days during the school year.

Table 2. Parent Survey Data

Variables	Treatment ( <i>n</i> = 305)		Contrast ( <i>n</i> = 251)		<i>p</i> <sup>a</sup>
	<i>n</i>	%	<i>n</i>	%	
Parent education:					.185
Some high school	28	9.18	21	8.37	
High school diploma	44	14.43	30	11.95	
Some college/vocational training	90	29.51	86	34.26	
College degree	90	29.51	58	23.11	
Graduate degree	24	7.87	30	11.95	
No response	29	9.51	26	10.36	
How many years of reading to child:					.816
<1 year	4	1.31	3	1.20	
1–2 years	71	23.28	66	26.29	
2–3 years	79	25.90	67	26.69	
3–4 years	42	13.77	27	10.76	
4–5 years	25	8.20	16	6.37	
>5 years	13	4.26	12	4.78	
Not reported	71	23.28	60	23.90	
Time spent on reading per day:					.328
None	15	4.92	10	3.98	
About 10 minutes	98	32.13	72	28.69	
15–30 minutes	147	48.20	133	52.99	
Over 30 minutes	22	7.21	9	3.59	
Not reported	23	7.54	27	10.76	
Attended preschool	241	79.02	201	80.08	.126

Note.—*p* values are not adjusted for Type I error because all values are already nonsignificant.

<sup>a</sup>*p* value is computed using chi-square test.

Along with the consent form, we sent parents a survey to complete regarding their home literacy practices, parent education, and whether their child had attended preschool. Table 2 shows the means and standard deviations by condition; a chi-square test revealed no significant difference across conditions. In summary, the cluster randomization process yielded samples of students in each condition who were similar in demographics, pretreatment reading scores, and home and preschool experiences.

## Procedures

**Common baseline professional development provided to treatment and contrast conditions.** As previously stated, all teachers in both conditions received a common baseline of professional development that included a researcher-delivered summer day-long workshop on RTI and individualized instruction. We conducted separate 1-day workshops for teachers in each condition to reduce potential treatment diffusion. The content and materials were identical with one exception, namely, that contrast teachers received no ISI-K or A2i training.

The first author led both workshops, initially providing an overview of RTI research, with a focus on Tier 1 and individualized instruction. The research staff assisted with presenting information about the NRP's (2000) five components of reading and how these related to the teachers' core reading program. The staff modeled dialogic reading (Whitehurst & Lonigan, 1998) and vocabulary instructional strategies (Beck, McKeown, & Kucan, 2002) and trained them to work in teams to

select vocabulary words and create dialogic questions using narrative and expository texts.

Next, we used Gough's Simple View of Reading (Gough & Tunmer, 1986) to cluster phonological, phonics, spelling, and fluency instruction as code-focused instruction and vocabulary, comprehension, and writing as meaning-focused instruction. We emphasized that no one size fits all students and described the research behind providing small-group individualized instruction and the need to manage centers efficiently. Further, we reminded teachers how data, such as the DIBELS data provided through the PMRN, could be used to group children with similar instructional needs. Using the PMRN was familiar to teachers, as they had been provided DIBELS (Good & Kaminski, 2002) data (scores and risk status) for several years regarding students' initial letter naming, phonological awareness, and, beginning in winter, decoding skill development.

Teachers then worked in small groups using their core reading program to distinguish activities within the dimensions of code versus meaning instruction and teacher-child-managed versus child-managed instruction. We also provided colored and laminated activities developed from downloadable templates created by the Florida Center for Reading Research for use in teacher-child-managed and child-managed centers (FCRR, 2007).

In summary, during the initial summer workshop teachers in both conditions were trained about RTI and how to individualize instruction based upon student-assessment data, how to manage centers, and how to use FCRR center activities. Like all other teachers in the school district, teachers in our study used an explicit and systematic core reading program, had access to DIBELS data through the PMRN, and had a reading coach at their schools.

**Treatment: Professional development and classroom support for A2i and ISI-K.**

Professional development to conduct the ISI-K intervention began with this initial baseline professional development workshop but also involved ongoing monthly coaching and biweekly classroom-based support, which was not provided to teachers in the contrast condition. This coaching model is supported by prior research (e.g., Gersten et al., 2008; Showers, Joyce, & Bennett, 1987) and specifically by prior ISI research (e.g., Connor, Morrison, & Underwood, 2007). We also emphasized to teachers that our research goal was to learn whether ISI-K and A2i had a value added in providing data-based guidance individualization.

We showed the teachers screen shots (see Fig. 1) depicting an overview of how A2i would use a mathematical formula using their students' scores from picture vocabulary and word reading assessments to suggest homogeneous groups and predict recommended amounts (in minutes) in each dimension of instruction for each child. We explained that the formulas incorporated growth and were based upon data from previous correlational studies. Teachers saw screen shots (see Fig. 1), depicting what data would look like for each student, with a graph indicating a desired target outcome of grade-level language and reading performance (or, for a child with strong initial skills, a target of 9 months growth) that used simulated data for fall and winter to create a trend line showing adequate and inadequate progress toward the target.

Once a month for the next 8 months, research staff met at each school site with grade-level teams or, if needed, met with individual teachers for training on the technology. We entered all data for teachers (including WJ-III Letter Word Identifi-

**How does the computer know what my students need?**

Based on previous research we found that we could predict where students ended the school year by his/her reading scores, the month of school in which they were in, and the types and amounts of reading instruction they received. A basic algebraic equation was created based on these results and programmed into A2i which now uses the the students test scores, month in school, and desired end of year outcome, to calculate the amounts and types of instruction needed. It's pretty simple! Let's walk through an example of a student that starts the year 2 months behind a normal kindergarten student:

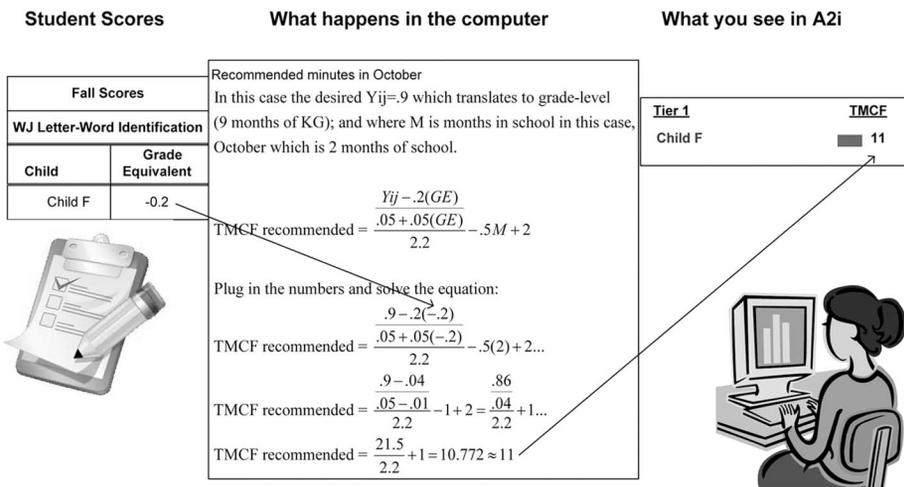


Figure 1. Screen shot used for professional development for ISI-K.

fication, Picture Vocabulary scores). The first school-based meeting focused on the recommended minutes of instruction based on the student data and on scheduling minutes of instruction. This was very challenging for teachers, particularly when their only extra adult help was the biweekly research partners; we addressed this challenge by sharing articles as well as describing and modeling “what works.” We learned that it was difficult for some teachers to move away from whole-class undifferentiated instruction toward small-group individualized instruction and to design child-managed center activities that were meaningful and engaging. The FCRR center activities were very helpful and widely used. In winter, we administered a mid-test using the WJ-III Picture Vocabulary and Letter Word Identification subtests and again entered data, which generated updated groupings for the teachers. Thus, each month we reinforced the project goal to individualize instruction in our meetings. During their biweekly visits, research partners reinforced the professional development, assisted if needed with technology, modeled small-group strategies, and often led a center activity or read aloud to students.

**Research staff and training.** A total of 19 research staff assisted with various research tasks within this large-scale project. Staff included mostly graduate students from special education and school psychology; one additional staff member was a speech and language pathologist, two were former elementary education teachers, and the remaining member held a BA in foreign language. All research staff performed one or more of the primary tasks: assessing students, videotaping teachers, and entering and analyzing data. The most highly experienced staff worked as research partners and as classroom observation video coders. (Coding training descriptions follow.)

Research partners played at least three coaching roles during their biweekly class-

room visits. First, they provided in-classroom support for teachers' use of the A2i software. Second, they modeled small-group individualized instruction. Third, they assessed all students' letter sound fluency once a month. Research partners were assigned to specific schools (although, given the size of the project and the fact that most schools had similar times for reading instruction, schools had more than one research partner). There were no systematic differences among schools or research partners. On average, across the study year, research partners provided a total of 16 hours (2 hours a month for 8 months) of in-classroom support.

Thus, due to the size and complexity of the project, all staff members were aware of assignment to condition. Under more ideal circumstances, assessors and coders would be blind to condition. Because of this potential problem, we took steps throughout each phase of the project—particularly prior to each wave of assessment—to remind staff that the purpose of the study was learning which condition was more effective and to caution them that experimenter bias could undermine an otherwise very carefully planned study (e.g., Rosenthal & Rosnow, 1984). Furthermore, we instructed staff that examiner unfamiliarity could negatively affect the reading assessment performance of kindergartners, particularly for students from minority backgrounds (Fuchs & Fuchs, 1986) and students with disabilities (Fuchs, Fuchs, Power, & Dailey, 1985). To reduce the extent to which examiner familiarity was problematic, research partners also visited contrast classrooms once a month to drop off FCRR activities.

The first author trained all research staff to individually administer assessments prior to each wave of testing. During these sessions, staff learned about developing rapport to reliably assess young students; they also learned how to administer and score each test following the directions from the assessment examiner manuals. Prior to testing, staff had to reach 98% accuracy on this checklist, which evaluated the accuracy with which the assessor followed the directions in administering and scoring the assessments (adapted from Sattler, 1982).

**Assessment and scoring procedures.** Data were collected from five sources: parents, teachers, individual staff-administered child assessments, individual district-administered child assessments, and classroom observational videotapes. All students in all conditions were individually assessed on most measures by research staff in quiet areas near their classrooms. Because children were young, testing was divided into 30-minute sessions: three sessions in fall, one in winter, and two in spring. Each test protocol was scored by the member of the research staff who administered the assessment. Then all protocols were checked to ensure that accurate basal and ceiling rules were followed and that addition was accurate prior to data entry. Then, for relevant subtests, compuscoring from the commercial test producer was used to calculate standard and *W* scores, which are Rasch ability scores that provide equal-interval measurement characteristics. *W* scores, which are centered at 500, represent the typical achievement for a 10-year-old. Data were entered into SPSS builder windows, and, to reduce errors, data were double entered, meaning that two independent entries were made by two different data-entry personnel.

Another set of measures, DIBELS (Good & Kaminski, 2002), was administered by well-trained district personnel who also entered data into the PMRN. Teachers in both conditions could access their students' test scores via a Web-based interface to the PMRN. The districts' testing protocol incorporated three assessment periods: the first occurred within the first 20–30 days of school, the second occurred in winter,

and the third occurred in the late spring, ending the week prior to our posttesting. We accessed the DIBELS data through a query process following approval and, for purposes of this study, analyzed Segmentation Fluency and Nonsense Word Fluency.

**Videotaping training.** Research staff who became videographers attended a 2-hour group training session prior to each round of videotaping. The training session discussed the purpose of videotaping and provided examples of and guidance about taking detailed field notes about classroom instruction. Staff members were taught how to operate the videotaping equipment, record accurate target student descriptions, and take detailed notes about these targets. This was important for two reasons: (1) to ensure that we did not film children without parental consent, and (2) to ensure that we captured each target student's instruction. It was crucial that all student instructional activities, as well as their management (i.e., teacher-child managed, child managed) and grouping (i.e., whole group, small group, peer, individual) were described. After videotaping, videos were uploaded onto a dedicated computer equipped with the Noldus Observer program.

**Coding training.** All coders had or were pursuing a graduate-level degree in education or speech and language. Coders participated in a careful training process conducted in small groups and individually. We benefited from guidance at the start of this process from Connor's coding team, which had extensive experience using this coding scheme in first grade (Connor, Morrison, et al., 2009) and from attending a 2-day training session presented by a Noldus Observer consultant to better understand the software features.

First, coders were trained on the content of the manual through review. Second, the coders sat with an experienced coder to observe the coding system. Third, the coder was assigned a tape to code independently. Next, the reliability was then obtained using Cohen's kappa. The reliability for each coder was checked against a master coder and then the other coders. Coders could not code independently until a kappa of .75 was acquired. The reliability of the coders ranged from .77 to .83, with a mean of .80. Coding meetings were held weekly to discuss any coding issue or question about a specific activity. During the coding meetings disagreements were resolved by the master coder.

In addition, these same coders were trained to complete a low-inference instrument, after watching each tape, that evaluates overall effectiveness of implementation of literacy instruction captured on the videotapes. The scale ranged from 0 to 3, with 0 for content that was not observed, 1 for "not effective," 2 for "effective," and 3 for "highly effective." Interrater reliability ranged from .92 to 1.00, with a mean of .98, and kappas ranged from a low of .64 to 1.00.

### Teacher and Student Measures

**Teacher fidelity of individualized instruction, fidelity of A2i usage, and instructional effectiveness.** Research staff videotaped reading instruction in all 44 classrooms in November 2006 and in February 2007. These video recordings ranged in length from 60 to 120 minutes and averaged 90 minutes. We carefully scheduled these observations in advance to meet teachers' convenience, and teachers were given the opportunity to reschedule as needed. The videos focused on a stratified sample of 10 children; to select students for observation, we rank ordered students on their fall Letter Word Identification scores (Woodcock Johnson-III Tests of Achievement

[WJ-III]; Woodcock, McGrew, & Mather, 2001) and randomly selected low-, average-, and high-performing target students from the class. During videotaping, staff used two digital video cameras with wide-angle lenses to best capture classroom instruction. In addition, detailed field notes were kept on students, particularly those who were off camera—for example, “Child A was reading a book in the book corner,” or “Child H went to the restroom.” If a target child was absent, a substitute child with the same ranking was used as a replacement for the day.

In addition to video coding, to address the overall effectiveness of implementation of literacy instruction captured on the videotapes we created a low-inference observational instrument with a scale of 0–3, with 0 for content that was not observed, 1 for “not effective,” 2 for “effective,” and 3 for “highly effective.” Specifically, coders first used the scale to rate individualization of instruction. Second, coders used the same scale to rate teachers’ warmth and sensitivity, classroom organization, and the degree to which teachers were effective at keeping students on task during instruction. Third, coders reported the instructional effectiveness of code-focused (letter-sound, phonological awareness, word identification, fluency, spelling) and meaning-focused (vocabulary, comprehension, and writing) instruction.

Additionally, as part of the professional development protocol, to assess ongoing fidelity of implementation of A2i and ISI-K, we asked research partners to rate their ISI teachers only on use of A2i components and software using a low-inference Likert scale checklist used in prior ISI research that ranged from 1 (consistently weak) to 6 (exemplary). Ratings occurred in January, March, and April. These ratings were based on the research partner’s collective experiences with the teacher; thus it would not have been feasible for more than one research partner to conduct an interrater reliability analysis because the rating was not based on one single observation time period.

**Student measures.** We administered a battery of assessments related to students’ language and conventional literacy skills using both criterion and norm-referenced tests. Students’ letter-sound correspondence was assessed using the AIMSWeb Letter Sound Fluency (Shinn & Shinn, 2004). In this task, children are presented an array of 10 rows of 10 lowercase letters per line and are asked to name as many of the sounds that the letters make as quickly as they can in 1 minute. Testing is discontinued if the child cannot produce any correct sounds in the first 10. Raw scores are reported and alternate form reliability is .90. Scores from the fall and spring administration are used in the present study.

To assess students’ expressive vocabulary growth, we selected the Picture Vocabulary (PV) subtest of the WJ-III (Woodcock et al., 2001). In this subtest, students name pictured objects that increase in difficulty. Testing is discontinued after six consecutive incorrect items. According to the WJ-III test authors, reliability of this subtest is .77. The test (and all other WJ-III subtests used in the present study) yields a standard score with a mean of 100 and a standard deviation of 15, and *W* scores, which are a Rasch ability scale score, that provide an equal-interval form of measurement. These *W* scores are centered at 500 and represent average achievement for a 10-year-old student.

Students’ word reading skills were assessed using the WJ-III Letter Word Identification (Woodcock et al., 2001) subtest. Examiners asked students to identify letters in large type and then to read words in arrays of about eight per page. The subtest consists of 76 increasingly difficult words. Testing is discontinued after six consecu-

tive incorrect items. Interrater reliability is high for this age group ( $r = .91$ ); concurrent intercorrelations with the WJ-III Word Attack and Passage Comprehension subtests are .80 and .79, respectively.

We assessed students' ability to decode pseudowords using the Word Attack subtest of the WJ-III (Woodcock et al., 2001). The initial items require students to identify the sounds of a few single letters; remaining items require the decoding of increasingly complex letter combinations that follow regular patterns in English orthography but are nonwords. Testing continues until the examinee makes six errors on a page. Word Attack has a high test-retest reliability ( $r = .94$ ) for this age group.

We also accessed spring scores from the district-administered DIBELS Nonsense Word Fluency (NWF) and Phoneme Segmenting Fluency (PSF) tasks. The NWF task measures students' ability to read letter sounds and to blend letters into words. The examiner presents the student with a sheet of paper with two- and three-letter nonsense words (e.g., *ov*, *rav*). Two practice items are given with feedback. Students get a point for each letter sound produced correctly, whether pronounced individually (e.g., /*o*/ /*v*/) or blended together (e.g., "ov"); raw scores are reported. Students' scores on the PSF were used as a measure of their ability to segment words into phonemes. The PSF task measures students' ability to say the individual phonemes in three- and four-phoneme words. The examiner says a word and then asks the student to say the sounds in the word. As with the NWF administration, two practice items are given with feedback before testing begins. For example, the examiner says "sat," and the student says /*s*/ /*a*/ /*t*/. Students get a point for each segment pronounced correctly in 1 minute; raw scores are reported.

## Data-Analytic Procedures

To address our first research question regarding the variability in the overall implementation of literacy instruction and individualization of instruction within treatment and comparison classrooms, teacher data were analyzed using analyses of variance (ANOVAs) using the SPSS version 17.0 statistical program. Given the nested nature of our data, to address our second question regarding the student outcomes, we conducted a hierarchical multivariate linear model (HMLM; Raudenbush & Bryk, 2002) using HLM version 6.02 (Raudenbush, Bryk, Cheong, Congdon, & du Toit, 2004).

We chose to use HMLM because it allows for the evaluation of multiple outcome measures of one latent construct—reading—thus providing stronger construct validity (Hox, 2002). Rather than conducting a series of univariate tests on each measure where type I error may become inflated, by conducting an HMLM there is more control for type I error and stronger power with a set of multiple measures where the joint effect of those measures may produce a significant effect (Hox, 2002). Furthermore, when conducting research in schools where students are nested in classrooms, and classrooms in schools, HMLM accounts for the nested nature of the data and for shared classroom variance so as to avoid misestimation of standard errors (Raudenbush & Bryk, 2002), and allows the covariances to be decomposed over each level.

In HMLM, three levels of data exist. The first level is the measurement level, which includes the latent construct of reading as measured by Letter Sound Fluency, Letter Word Identification, Word Attack, PSF, and NWF. The second level, the child level,

includes the covariate of fall letter-sound fluency, which was the only pretest variable where significant differences existed, in this case, favoring the contrast group. Using this covariate increases power to find effects. The third level, the classroom level, included the dummy coded variable for treatment (1 = treatment, 0 = contrast). Because all indicators were not measured in the same metric, the raw score, or *W* score where available, was transformed into a *z* score. As HMLM analyzes a single latent construct from the five indicators, all indicators are constrained to have the same loading or regression weight; this indicator is estimated based on the explanatory variables included in the other levels of the model (Hox, 2002). Limitations of the software precluded including a fourth school-level equation. Three-level HLM models (students nested in classrooms, nested in schools) revealed no significant school-level variance ( $p > .05$ ). Where appropriate, we applied a Benjamini-Hochberg (BH) correction for multiple comparisons at the  $p < .05$  level (Benjamini & Hochberg, 1995).

## Results

### Variability in Teacher Fidelity of Individualization, Fidelity of A2i Usage, and Instructional Effectiveness within ISI-K Treatment and Contrast Classrooms

**Fidelity of individualized instruction and A2i usage.** We examined two types of fidelity: overall individualized instruction, which was targeted in the summer workshop provided to teachers in both conditions, and the specific fidelity of A2i, which was only for the treatment condition. Fidelity of individualized instruction ranged from 0 to 3, where 0 indicates no individualization of instruction, 1 indicates small-group instruction with all children doing the same activity, 2 indicates small-group and individualized activities, and 3 indicates not only small-group and individualized activities but that the overall content of literacy instruction is differentiated and regrouping was observed. Findings suggest that although teachers in the contrast condition attempted to individualize instruction, they were less effective than the teachers in the ISI-K treatment condition (see Fig. 2).

As seen in Figure 2, an ANOVA revealed that teachers in the ISI-K treatment condition provided significantly more individualized instruction than the PD contrast group in both the fall ( $p < .005$ ) and the winter ( $p < .01$ ). The mean fall fidelity of individualized instruction for the treatment group was 1.78 ( $SD = 1.00$ ) and the mean fidelity for the contrast condition was 1.00 ( $SD = .71$ ). The mean winter fidelity of individualized instruction for the treatment condition was 1.96 ( $SD = 1.11$ ), and for teachers in the contrast condition the mean was  $M = 1.19$  ( $SD = .75$ ).

With regard to fidelity of the A2i usage, scores ranged from 0 to 6, with 0 meaning no usage (thus all contrast teachers scored a 0 because they did not use A2i), and 0–6 being given to treatment teachers depending on their actual use of the software. (The fidelity rubric is available from the first author.) The overall mean fidelity of A2i usage among the ISI-K treatment teachers ranged from 2.74 to 3.00 ( $SD 1.054$ – $1.168$ ), indicating that use of A2i was moderate and that, on average, A2i use increased from January ( $M = 2.87$ ,  $SD = 1.18$ ) to March ( $M = 3.00$ ,  $SD = 1.17$ ) and then dipped slightly in April ( $M = 2.74$ ,  $SD = 1.05$ ).

The correlations among fidelity measures were strong and were also significant at the  $p < .01$  level. Fall and winter observations of fidelity of individualized instruction

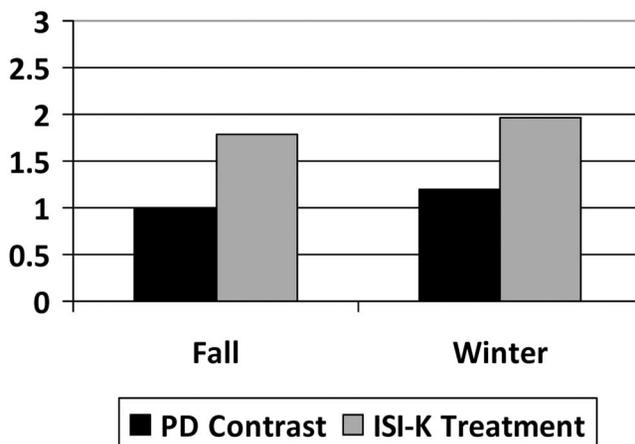


Figure 2. Extent to which teachers individualized instruction. Teachers in the ISI-K treatment group provided significantly more individualized instruction than the PD contrast group in both the fall ( $p < .005$ ) and in the winter ( $p < .01$ ). Here, 1 represents the use of small groups—though students receive the same instruction—and 2 represents clear evidence of differentiation, where small groups and content vary by student and skill level.

were correlated at  $r = .659$ . Correlations between January, March, and April on fidelity of A2i usage ranged from  $r = .875$  to  $r = .957$ . Intercorrelations between individualization and A2i usage ranged from  $r$ 's of  $.471$  to  $.576$ .

**Instructional effectiveness.** Table 3 displays the descriptive statistics and results of a series of one-way ANOVAs on instructional effectiveness; the  $p$  values for all ANOVAs were adjusted for 26 comparisons using the BH procedure at the  $p < .05$  level. Teachers in both conditions provided similar levels of organization of instruction, warmth, and sensitivity, and ensured similar levels of on-task behaviors among their students in fall and winter. Mean instructional effectiveness ratings ranged from 1.95 ( $SD = .74$ ) to 2.10 ( $SD = .70$ ), suggesting that teachers' quality of instruction was rated as relatively effective.

#### Kindergartners' Reading Outcomes and Response to Instruction

Our second research aim was to learn whether students in the treatment classrooms would demonstrate stronger reading outcomes; in addition, we wanted to examine the percentage of students who would likely need additional intervention in first grade. Descriptive statistics for each of the outcomes by fall (pre) and spring (post) and by treatment and contrast conditions are provided in Table 4. Students in both conditions improved relative to national norms on Word Identification and Word Attack. Students also increased their Letter Sound Fluency raw scores between the fall and spring in both groups.

To investigate the effect of ISI-K on reading outcomes, we conducted an HMLM analysis. To put the outcomes in the same metric, all spring outcomes ( $W$  or raw scores) were  $z$  scored. Table 5 displays the descriptive statistics of all the variables included in the HMLM analysis in both the  $W$  or raw score and  $z$ -score metrics. With the exception of the fall Letter Sound Fluency score, which was higher in the contrast group, students did not generally differ by condition on any of the fall measures. Therefore, in our final models we controlled only for fall Letter Sound Fluency scores

Table 3. Instructional Effectiveness

	Contrast ( <i>n</i> = 21)		Treatment ( <i>n</i> = 23)		<i>p</i>
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	
Fall quality of instruction:					
Organization of instruction	2.10	.70	1.91	.95	.476
Warmth and sensitivity	1.95	.74	1.83	.83	.599
Level of classroom on-task behaviors	2.10	.70	1.96	.56	.471
Fall instructional effectiveness:					
Phonological awareness	2.10	.89	2.09	1.08	.978
Alphabets	1.76	.83	2.09	.73	.175
Decoding	1.62	.80	2.09	.85	.068
Vocabulary	1.33	1.06	1.57	1.16	.495
Comprehension	1.62	1.07	1.83	1.15	.542
Fluency	.19	.60	.26	.75	.735
Spelling	.76	1.09	1.48	1.12	.038
Writing	1.10	1.00	2.22	.90	.000*
Child-managed activities	1.76	1.18	2.30	1.02	.109
Other adults	.95	.92	1.26	1.21	.351
Winter quality of instruction:					
Organization of instruction	2.29	.72	2.04	.88	.325
Warmth and sensitivity	2.19	.81	2.26	.75	.767
Level of classroom on-task behaviors	2.33	.66	2.13	.63	.301
Winter instructional effectiveness:					
Phonological awareness	2.62	.74	2.35	.83	.261
Alphabets	1.90	.94	1.74	1.21	.618
Decoding	2.00	.95	2.17	.83	.521
Vocabulary	1.29	1.15	1.48	1.20	.590
Comprehension	2.19	1.17	1.87	1.10	.353
Fluency	.52	.81	.43	.84	.724
Spelling	.19	.51	1.00	1.17	.005*
Writing	.86	.57	1.70	.88	.001*
Child-managed activities	2.48	.93	2.26	1.01	.467
Other adults	1.38	1.24	1.96	1.02	.100

Note.—All items were on a 0–3 scale, where 0 indicated not observed, 1 indicated not effective, 2 indicated effective, and 3 indicated highly effective.

\* Significant at the  $p = .05$  level after the Benjamini-Hochberg correction was applied.

to preserve parsimony in these complex models. Zero-order correlations among outcome and variables and the fall covariate revealed that our outcome variables ranged from weak to high, with Pearson correlation coefficients ranging from .15 to .59.

First we ran the unconditional model with homogeneous variance as the best fit. In this model,  $\tau_{(\pi)}$  was .11 ( $SE = .03$ ) and sigma squared ( $R_o$ ) was .44 ( $SE = .01$ ). This yielded an intraclass correlation coefficient (ICC) of .20. We then added the fall Letter Sound Fluency score at the child level (level 2) and condition at the classroom level (level 3), where classrooms in the ISI-K condition were coded 1 and the contrast classrooms = 0. In Figure 3 we display the unrestricted and homogeneous hierarchical multivariate linear models that were tested. The model fit statistics revealed that the unrestricted model fit the data significantly better than did the model assuming homogeneous variance; hence, we report the unrestricted model results with random intercepts and fixed slopes in Table 6. Table 7 displays the variance-covariance matrix of error variances between indicators.

Modeled results revealed a significant effect of the ISI-K treatment; students in ISI-K intervention classrooms achieved significantly greater spring word-reading

Table 4. Pre/Post Measures by Treatment and Contrast

	Treatment				Contrast			
	Pre		Post		Pre		Post	
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>
Letter Word <sup>S,WJ</sup>	95.53	12.23	103.81	13.88	97.27	13.52	104.84	14.77
Word Attack <sup>S,WJ</sup>	96.37	22.14	107.76	13.82	98.86	21.94	107.85	13.95
Letter Sound Fluency <sup>A</sup>	8.15	9.61	37.16	17.42	9.98	10.26	34.02	14.26
Phoneme Segmenting Fluency <sup>D</sup>			42.2	22.97			30.07	15.61
Nonsense Word Fluency <sup>D</sup>			39.25	24.66			36.74	23.04

Note.—<sup>S</sup> = standard score, <sup>WJ</sup> = Woodcock Johnson III (Woodcock, McGrew, & Mather, 2001), <sup>A</sup> = AIMSWeb (Shinn & Shinn, 2004), <sup>D</sup> = DIBELS (Good & Kaminski, 2002).

outcomes compared to students in contrast classrooms. Because we used z scores, a standard deviation of 1 was used to compute effect size *d*, which was .52. This is a moderate effect size (Rosenthal & Rosnow, 1984). Tau decreased from .11 to .07 (i.e., the final model explained 36% of the classroom-level variance). The fall Letter Sound Fluency score significantly predicted students’ spring outcomes. Figure 4 shows a graphical representation of the model results.

We also considered the success of ISI-K based upon the percentages of children who would likely need extra intervention in first grade based upon their end-of-kindergarten skills. Based on prior research (Batsche et al., 2007), up to 20% of students in many classrooms would be expected to need more assistance. Only 6.9% of students in the treatment condition and 7.6% of students in the contrast condition ended kindergarten with Letter Word Identification standard scores below 85, indicating that their word reading was not normalized.

Table 5. Variables in HMLM Analysis

	Treatment			Contrast			<i>p</i>
	<i>n</i>	<i>M</i>	<i>SD</i>	<i>n</i>	<i>M</i>	<i>SD</i>	
Covariate:							
Letter Sound Fluency <sup>A,F</sup>	305	8.15	9.61	249	9.98	10.63	.034
Variables in latent variable:							
Letter Sound Fluency <sup>A,S</sup>	305	37.16	17.42	251	34.02	14.26	
Letter Sound Fluency <sup>A</sup> z score	305	.09	1.08	251	-.11	.88	.022
Letter Word <sup>WJ</sup> <i>W</i>	305	396.90	28.40	251	397.69	29.78	
Letter Word <sup>WJ</sup> z score	305	-.01	.98	251	.01	1.03	.749
Word Attack <sup>WJ</sup> <i>W</i>	305	447.04	26.86	251	445.65	27.28	
Word Attack <sup>WJ</sup> z score	305	.02	.99	251	-.03	1.01	.545
Phoneme Segmenting Fluency <sup>D</sup>	303	42.20	22.97	245	30.07	15.61	
Phoneme Segmenting Fluency <sup>D</sup> z score	303	.26	1.10	245	-.32	.75	.000*
Nonsense Word Fluency <sup>D</sup>	303	39.25	24.66	245	36.74	23.04	
Nonsense Word Fluency <sup>D</sup> z score	303	.05	1.03	245	-.06	.96	.223

Note.—Z scores of all measures were used in the HMLM analysis; for Letter Word and Word Attack, z scores were calculated from the *W* scores. The fall LSF raw score was used as a contrast variable. ANOVAs were conducted only on the data included in the actual model; thus *p* values are based on the z scores of the measures in the latent variable and on the raw score of the covariate. <sup>A</sup> = AIMSWeb (Shinn & Shinn, 2004), <sup>F</sup> = fall assessment data, <sup>S</sup> = spring assessment data, <sup>WJ</sup> = Woodcock Johnson III (Woodcock, McGrew, & Mather, 2001), <sup>D</sup> = DIBELS (Good & Kaminski, 2002), *W* = *W* score.

\* Significant at the *p* = .05 level after the Benjamini-Hochberg correction was applied.

## UNRESTRICTED MODEL

## LEVEL 1 MODEL

$$\text{READING} = (\text{LSF\_S}) * \text{READING}^* + (\text{LW}) * \text{READING}^* + (\text{NWF}) * \text{READING}^* + (\text{PSF}) * \text{READING}^* + (\text{WA}) * \text{READING}^*$$

$$\text{READING}^* = \pi_0 + \varepsilon$$

## LEVEL 2 MODEL

$$\pi_0 = \beta_{00} + \beta_{01}(\text{LSF\_F})$$

## Combined level-1 and level-2 Model

$$\text{READING} = \beta_{00} + \beta_{01}(\text{LSF\_F}) + \varepsilon$$

$$\text{Var}(\varepsilon) = \Delta$$

## LEVEL 3 MODEL

$$\beta_{00} = \gamma_{000} + \gamma_{001}(\text{TREATMENT}) + u_{00}$$

$$\beta_{01} = \gamma_{010}$$

## HOMOGENOUS MODEL

## LEVEL 1 MODEL

$$\text{READING} = (\text{LSF\_S}) * \text{READING}^* + (\text{LW}) * \text{READING}^* + (\text{NWF}) * \text{READING}^* + (\text{PSF}) * \text{READING}^* + (\text{WA}) * \text{READING}^*$$

$$\text{READING}^* = \pi_0 + \varepsilon$$

## LEVEL 2 MODEL

$$\pi_0 = \beta_{00} + \beta_{01}(\text{LSF\_F}) + \tau_0$$

## Combined level-1 and level-2 Model

$$\text{READING} = \beta_{00} + \beta_{01}(\text{LSF\_F}) + \varepsilon$$

$$\varepsilon = \tau_0 + e$$

$$\text{Var}(\varepsilon) = \text{Var}(A\tau + e) = \Delta = A\tau_\tau A' + \sigma^2 I$$

## LEVEL 3 MODEL

$$\beta_{00} = \gamma_{000} + \gamma_{001}(\text{TREATMENT}) + u_{00}$$

$$\beta_{01} = \gamma_{010}$$

Figure 3. The unrestricted and homogenous hierarchical multivariate linear models.

## Discussion

This cluster-randomized control field trial examined the effect of two forms of professional development on kindergarten teachers' ability to individualize, or differentiate, Tier 1 classroom reading instruction, and on reading outcomes of students from economically and culturally diverse backgrounds. We found that teachers in the ISI treatment condition provided similarly effective classroom and reading instruction compared to teachers in the contrast condition but delivered significantly more individualized small-group instruction. Encouragingly, although students in both conditions improved their reading skills relative to national norms, students in treatment classrooms outperformed students in contrast classrooms on a latent measure of conventional literacy skills comprising letter word reading, decoding, alpha-

Table 6. Summary of Hierarchical Multilevel Linear Model Results

Variable	Coefficient	SE	T ratio	df	p
Fixed effects:					
Intercept $G_{000}$	-.19	.07	-2.68	42	.011
Fall LSF $G_{010}$	.04	.00	15.64	544	<.001
Condition $G_{001}$	.33	.10	3.33	42	.002
Random effects:					
School $\tau_{0B}$	.07	.02			

Note.—LSF = Letter Sound Fluency (AIMSweb). This was estimated with random intercepts and fixed-slopes model. Fall LSF was used as a covariate to control for initial status. Condition was coded 0 and 1, where 1 indicated that the student was in a treatment classroom.

Table 7. Variance-Covariance Matrices

	1	2	3	4	5
Error variance between indicators:					
1. Letter Sound Fluency <sup>A</sup>	.71	.29	.32	.18	.36
2. Letter Word <sup>WJ</sup>	.29	.64	.34	.05	.42
3. Nonsense Word Fluency <sup>D</sup>	.32	.34	.71	.29	.29
4. Phoneme Segmenting Fluency <sup>D</sup>	.18	.05	.29	.84	.18
5. Word Attack <sup>WJ</sup>	.36	.42	.29	.18	.67
Standard errors variance between indicators:					
1. Letter Sound Fluency <sup>A</sup>	.04	.03	.03	.03	.04
2. Letter Word <sup>WJ</sup>	.03	.03	.03	.03	.03
3. Nonsense Word Fluency <sup>D</sup>	.03	.03	.04	.03	.05
4. Phoneme Segmenting Fluency <sup>D</sup>	.03	.03	.03	.04	.03
5. Word Attack <sup>WJ</sup>	.04	.03	.05	.03	.04

Note.—<sup>A</sup> = AIMSweb (Shinn & Shinn, 2004), <sup>WJ</sup> = Woodcock Johnson III (Woodcock, McGrew, & Mather, 2001), <sup>D</sup> = DIBELS (Good & Kaminski, 2002).

betic knowledge, and phonological awareness ( $ES = .52$ ). Our findings add to the growing body of evidence that teachers who use ISI to individualize instruction based upon students’ language and literacy skills in the first through third grades achieve stronger student reading performance than teachers who do not (Connor et al., 2004; Connor, Morrison, et al., 2009; Connor, Piasta, et al., 2009). Because of ISI’s relative novelty, it was important to demonstrate that, consistent with the notion of early intervention, ISI was as effective in kindergarten when formal reading instruction begins.

There are some potentially important lessons to be gleaned from the present study about changing teacher behavior and, through that change, improving reading instruction and subsequent student outcomes. First, it was challenging but possible for teachers to differentiate their core reading instruction when they received ISI-K. Teachers in both conditions provided small-group instruction, but teachers in the contrast condition did not differentiate activities or materials provided to groups, despite having received the workshop on RTI and individualized instruction, games for center activities, and data on their students’ reading performance provided through the state database. Thus the contrast teachers’ tendency to provide the same activities to all children, regardless of their skill level, is consistent with prior research (Fuchs et al., 1994), whereas treatment teachers, using ISI-K, provided significantly

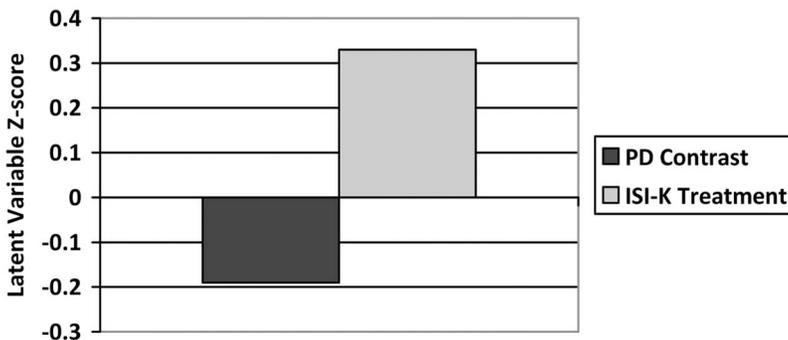


Figure 4. The effect of ISI-K treatment compared to PD contrast after controlling for fall Letter Sound Fluency.

more effective individualized instruction than contrast teachers and generally provided each group different activities tailored to their ability levels. Thus, an important implication of the present study is that the combination of A2i, ongoing professional development, and biweekly support of the ISI-K treatment was important, and that all are active ingredients that supported kindergarten teachers in differentiating instruction based upon ongoing assessments of students' language and literacy skills, which is consistent with prior investigations of ISI in the first through third grades (Connor et al., 2004; Connor, Morrison, et al., 2009; Connor, Piasta, et al., 2009).

Second, not all of our treatment teachers made full use of the A2i Web-based resources. The overall mean fidelity of A2i usage indicates that, on average, the treatment teachers were using the software only to a moderate level, attending to the recommended groupings, but had not yet mastered the ability to implement grouping that consistently followed recommended amounts and types of instruction for all individual students. This finding confirms prior concerns related to ensuring that teachers provide interventions that are sufficiently implemented with fidelity (e.g., Ikeda et al., 2007). Connor and colleagues have reported in prior studies of ISI that the amount of time teachers viewed A2i resources (assessment graphs, recommended groupings) and the more precisely they followed the recommendations for amount and types of literacy instruction (e.g., more phonetic decoding for students with weaker skills, especially in the beginning of the school year, and more comprehension instruction for students with stronger skills with increasingly greater amounts of child-managed instruction), the stronger the reading growth of all students (Connor, Morrison, et al., 2007).

Third, we confirmed that individualizing led to stronger student conventional literacy outcomes at the end of kindergarten within a diverse group of students. No children were excluded from the study; thus the sample included many students with disabilities. More than half of the students were from minority backgrounds and received free or reduced-price lunch. Confidence in our findings is strengthened by our research design that involved successful randomization: groups were similar on IQ, vocabulary, ethnicity, gender, eligibility for free and reduced-price lunch, parental education, preschool experiences, home literacy exposure, and all but one measure of conventional literacy at the start of the school year. Further, we used a sophisticated data analysis to account for students being nested within classrooms, which accounted for students' weaker initial letter-sound scores within the treatment classrooms, and we analyzed multiple child measures of literacy, careful assessments of classroom instruction, and fidelity of treatment within both conditions. Differences in instructional implementation rather than individualization could have led to differences in student outcomes. However, we learned that both groups of teachers were similarly effective in their organization of instruction, warmth and sensitivity, and ability to ensure that most students were on task (see Table 3).

Additionally, teachers in both conditions provided similarly well-implemented reading instruction. This finding may partly be explained by the common explicit and systematic core reading program used in most classrooms. There was, however, considerable variability in quality ratings for some reading instruction components (most standard deviations were half as large as the means; some were even greater). The correlations between teachers' fall and winter ratings ranged from strong (on task,  $r = .62$ ) to weak (decoding,  $r = .05$ ), indicating generally higher correlations among the overall instructional quality than among the reading instructional effec-

tiveness ratings. These findings support the assertion that differences in student outcomes were not due to overall instructional differences between the two treatment conditions but to individualization; they also suggest that when teachers are providing effective instruction, some individualization was better than none.

Finally, we learned that the overall percentage of students who did not reach grade-level reading expectations was notably small (about 7%, which is far less than the 20% rate considered acceptable for Tier 1 by Batsche et al. [2007], and more in line with the 6% failure rate deemed acceptable for RTI systems by Torgesen [2002]). This success rate may be attributed to professional development that aimed to help teachers differentiate their core reading instruction, essentially creating within their classrooms a hybrid of Tier 1 (classroom instruction) and Tier 2 (targeted and differentiated small-group interventions). This finding is very encouraging, given that our sample was considerably at risk for academic underachievement—more than half were minority and received free or reduced-price lunch, and nearly 20% had a speech or language delay or other disability. Furthermore, there did not appear to be a trend of weaker outcomes that related to the percentage of children within a school receiving free or reduced-price lunch. By the end of kindergarten, the mean sample reading scores were 107, which is more than a standard deviation higher than their IQ or vocabulary standard scores. Findings may not generalize to other curriculum programs that have less explicit reading instruction, which is important in light of at least one prior larger-scale investigation that examined the efficacy of kindergarten reading curricula (Foorman et al., 2003). Foorman and colleagues conducted a multi-year, multicohort study with over 4,800 kindergartners who attended struggling schools. Teachers were provided even more extensive professional development (including graduate course work) than in our study. Foorman and colleagues examined the extent to which kindergarten reading curricula supported the teaching of connections between phonemic awareness and letter-sound knowledge explicitly and systematically. Similar to our findings, Foorman et al. (2003) reported that curricula explicitly linking phonemic awareness and the alphabetic principle in kindergarten led to reading performance at the national average, despite considerable variability in teachers' instructional quality and fidelity of implementation.

### **Limitations and Directions for Future Research**

There are several important limitations to the present study, but these are lessons that will inform future research on RTI implementation. First, due to the complexity of this large project and scheduling challenges related to administering such a large number of assessments, our RAs, who tested children and videotaped observations, were not blind to condition. A stronger design would include a greater number of schools, but resources precluded this. The use of 14 schools left the study somewhat underpowered, although we were able to improve power by adding covariates and using HMLM analyses. Additionally, a stronger design would incorporate a separate team of assessors. Ideally, as we learn which assessments are most important, the number of measures can be trimmed, and perhaps if teachers conduct some of the assessments, it would help them be even more aware of student progress. Furthermore, research partners were in treatment classrooms regularly to provide in-class support; thus we cautioned them against examiner bias and about familiarizing themselves with children prior to testing.

Second, teachers were told in advance that we would be videotaping classroom instruction. It is possible that had we visited more often or unannounced, we would have observed different behavior. That said, we did not observe perfect implementation in any case. Furthermore, the small-group center activities and materials that we observed were clearly familiar to children, which suggests that what we videotaped was consistent with typical practice.

Third, in the future we need to unpack ISI-K to learn how much of which of the three active ingredients (ongoing professional development, in-class support, and A2i) is enough. In other words, how much support is enough, and for whom? Can we individualize teacher support to improve individualization and fidelity to ISI-K and particularly to the A2i software? We are also in the process of analyzing data from the second year of the study, which will allow us to learn whether a second year of treatment would have an additional impact on instructional practices. Instructional practice data can also be analyzed from a within-teacher perspective. Will teachers improve their individualization and implementation and, if so, will subsequent cohorts of students achieve greater growth?

Fourth, we are conducting longitudinal follow-up for our students to learn more about the longer-term impact of effectively individualized instruction and identifying students with persistent reading difficulties as reading disabled. We cannot assume that all students who achieve a standard score of 85 on a measure of word reading will learn to read fluently and with comprehension by the end of the primary grades. Even though the majority of parents reported reading to their children daily, and most reported having done so for several years prior to kindergarten, especially with our high-risk students, there will likely be some summer recidivism. Thus we hope to learn more about how many children will need extra intervention in first grade and beyond; this will be possible because the state has just mandated RTI. From this longitudinal work we also will explore whether a standard score of 85 is a good response criterion; we will compare this to other benchmarks on progress-monitoring measures that could be more teacher friendly.

Finally, future research is needed to replicate the efficacy of ISI-K as a means of ensuring that instruction is matched to students' developing skills and to test its efficacy as an RTI schoolwide system. We believe that ISI could be expanded beyond the classroom for use by principals and reading coaches as a dynamic forecasting intervention schoolwide model. It is clear that schools need assistance to help teachers use assessment data to individualize beginning reading instruction and also to plan which children need additional interventions (Connor, Morrison, & Underwood, 2007; Gersten et al., 2008; Mathes et al., 2005; Scanlon et al., 2008; Vellutino et al., 1996). Some of the students with disabilities in our study were recommended to receive over 90 minutes per day of small-group, individualized, teacher-managed, code-focused instruction. This intensity is likely more heroic than can be provided within general education. Data from this study and from previous investigations in kindergarten (Al Otaiba et al., 2008) and in later grades have demonstrated that students' responses to instruction depend in part on how their initial language and literacy skills interact with the instruction they receive (Connor et al., 2004; Connor, Morrison, Fishman, et al., 2007; Connor, Piasta, et al., 2009). These accumulating data can be used to derive equations that compute recommended amounts and types of instruction based on a set target outcome (grade-level comprehension or a year's worth of growth) and individual students' assessed skills. Because RTI would be data

informed, teachers would provide the instruction, students would be reassessed, and the recommended instruction would be modified so that children would continue to show progress in key component skills across the primary grades. In conclusion, lessons learned from this empirical study uniquely contribute to the professional dialogue about the value of professional development related to differentiating core reading instruction and the challenges of using RTI approaches to address students' needs in the areas of reading in general education contexts.

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